

Shell-Filling Effect in the Entanglement Entropies of Spinful Fermions

Fabian Essler (Oxford)

Collaborators:

P. Calabrese (Pisa), A. Läuchli (Insbruck)

PRL 110, 115701 (2013)

1. Ground state entanglement in 1+1 dim CFTs

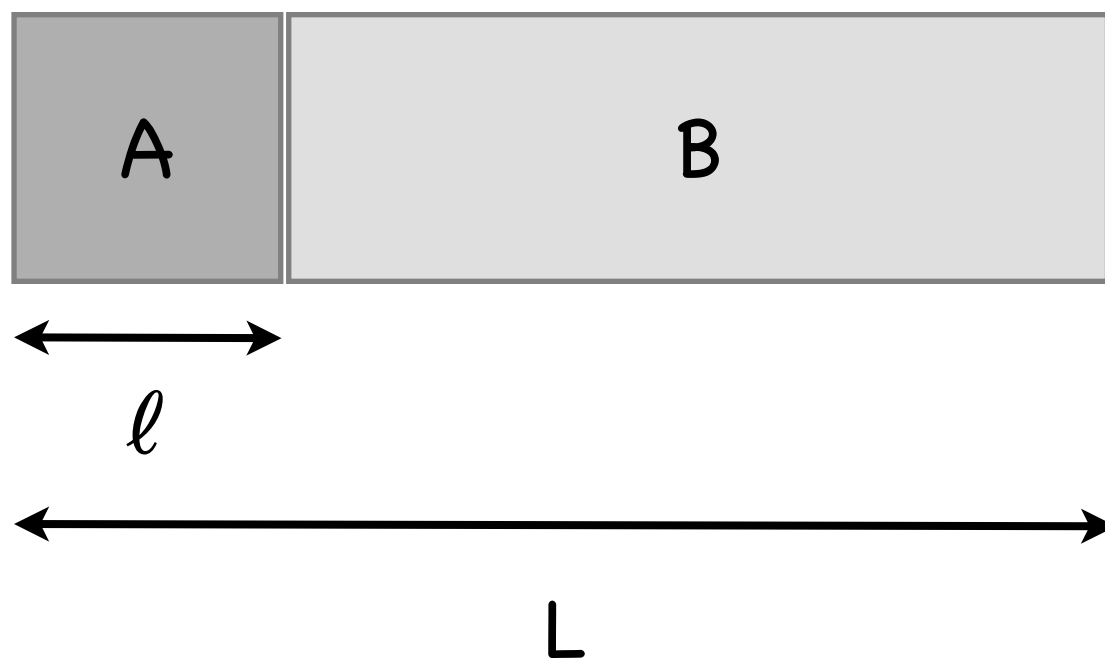
Calabrese&Cardy '04

Renyi:

$$S_n = \frac{c}{6} \left(1 + \frac{1}{n}\right) \ln \left(\frac{L}{\pi} \sin \frac{\pi \ell}{L}\right) + c'_n + o(1)$$

von Neumann:

$$S_1 = \frac{c}{3} \ln \left(\frac{L}{\pi} \sin \frac{\pi \ell}{L}\right) + c'_1$$



$$S_1 = -\text{Tr}[\rho_A \ln(\rho_A)]$$

$$S_n = \ln(\text{Tr}[(\rho_A)^n]) / (1-n)$$

OftP or ToS?

It's useful for finding QPTs!

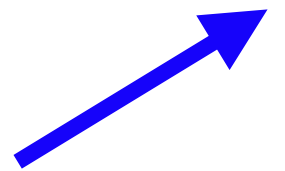


OftP or ToS?

It's useful for finding QPTs!



H₂O

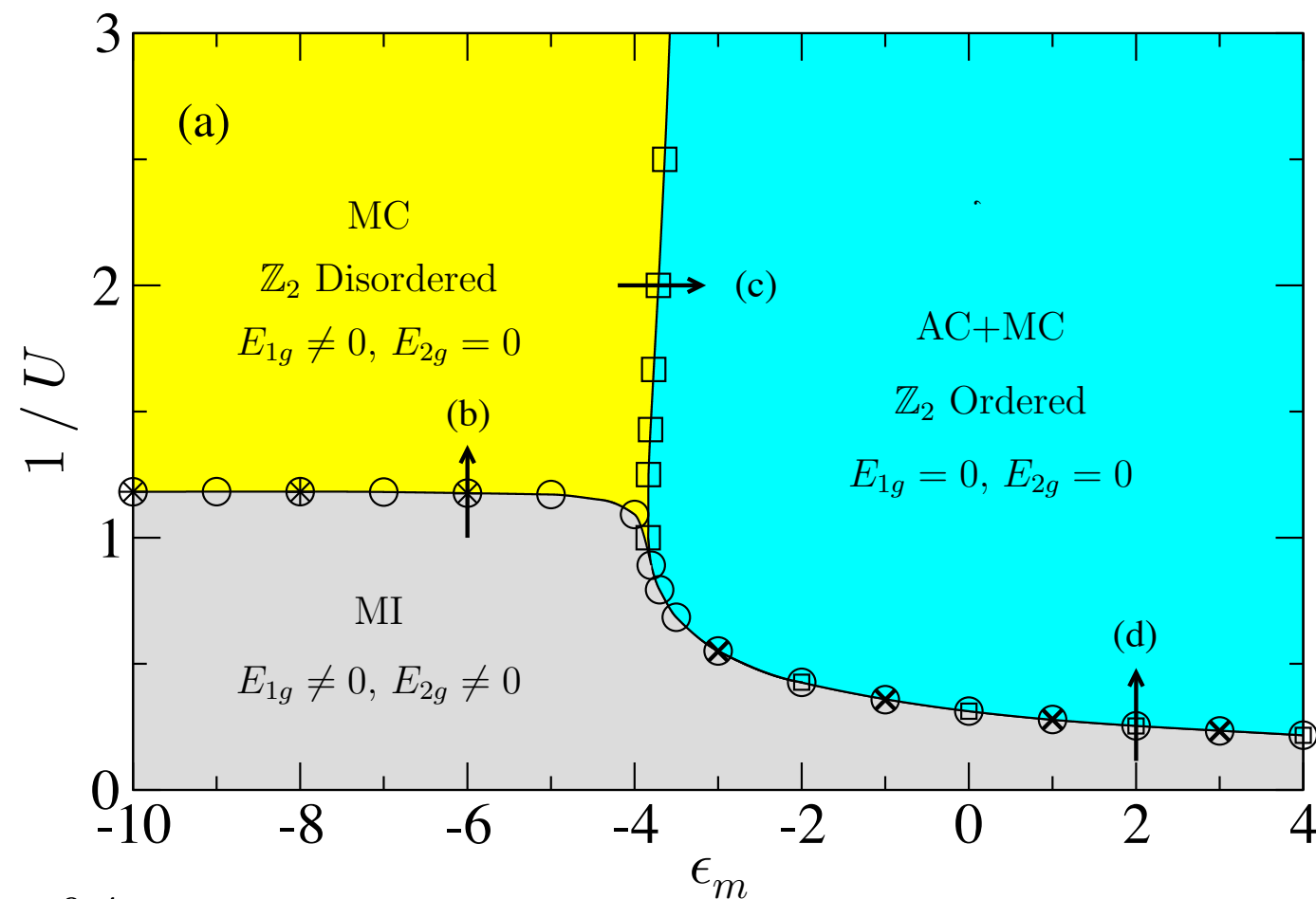


2-component Bose-Hubbard-Feshbach problem

Ejima, Bhaseen,
Essler et al '11

$$H = \sum_{i\alpha} \epsilon_\alpha n_{i\alpha} - \sum_i \sum_\alpha t_\alpha (b_{i\alpha}^\dagger b_{i+1\alpha} + \text{H.c.}) + \sum_{i\alpha\alpha'} \frac{U_{\alpha\alpha'}}{2} n_{i\alpha} (n_{i\alpha'} - \delta_{\alpha\alpha'}) + g \sum_i (m_i^\dagger a_i a_i + \text{H.c.}),$$

- bosonic atoms and molecules hopping on a 1D lattice
- repel when on the same site with U_{aa} , U_{mm} , U_{am}
- Feshbach conversion term: 2 atoms \leftrightarrow 1 molecule



$$\epsilon_a = 0, U_{aa}/2 = U_{mm}/2 = U_{am} = g = U,$$

$$t_a = 1, t_m = 1/2,$$

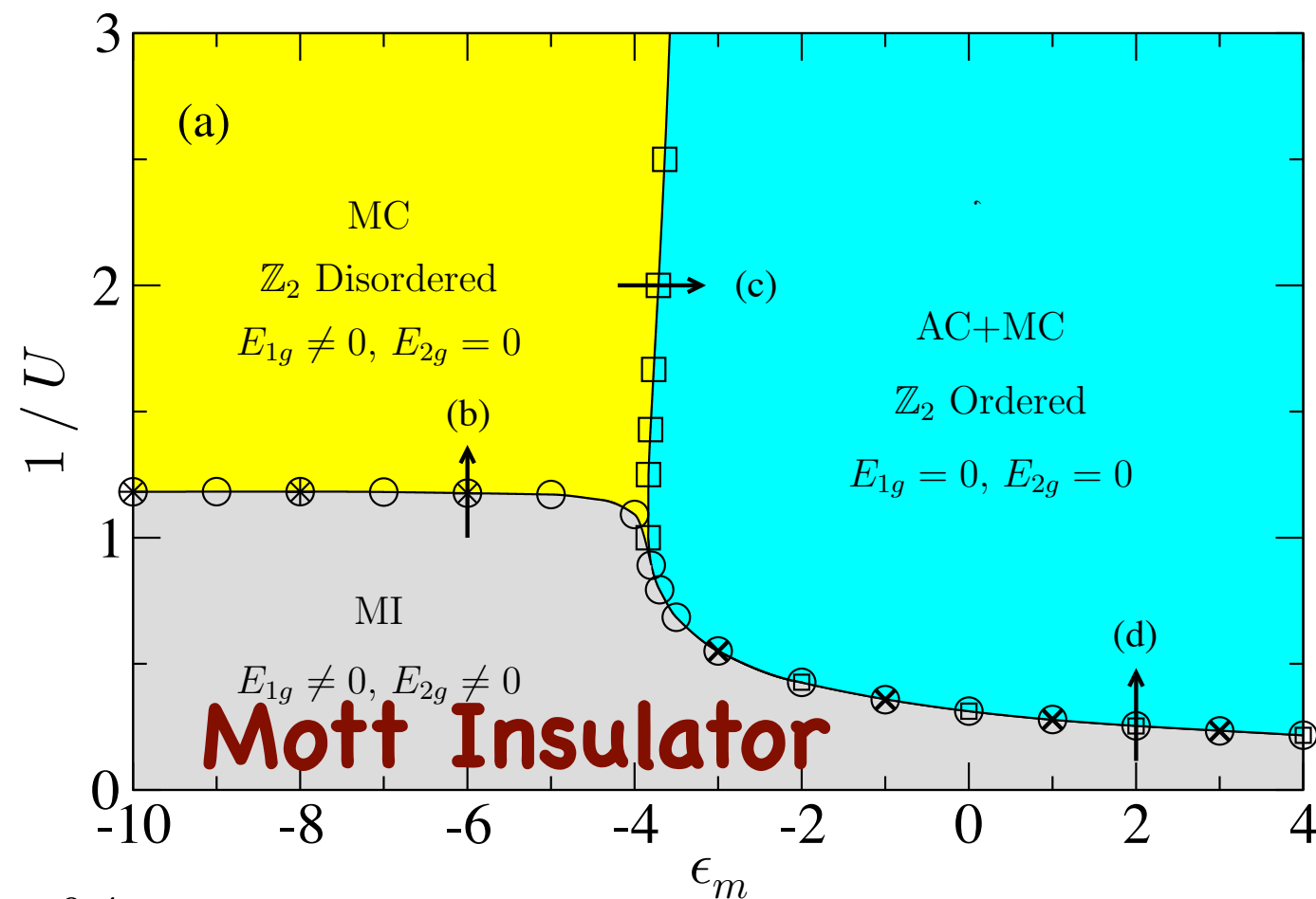
2 particles per site

2-component Bose-Hubbard-Feshbach problem

Ejima, Bhaseen,
Essler et al '11

$$H = \sum_{i\alpha} \epsilon_\alpha n_{i\alpha} - \sum_i \sum_\alpha t_\alpha (b_{i\alpha}^\dagger b_{i+1\alpha} + \text{H.c.}) + \sum_{i\alpha\alpha'} \frac{U_{\alpha\alpha'}}{2} n_{i\alpha} (n_{i\alpha'} - \delta_{\alpha\alpha'}) + g \sum_i (m_i^\dagger a_i a_i + \text{H.c.}),$$

- bosonic atoms and molecules hopping on a 1D lattice
- repel when on the same site with U_{aa} , U_{mm} , U_{am}
- Feshbach conversion term: 2 atoms \leftrightarrow 1 molecule



$$\epsilon_a = 0, U_{aa}/2 = U_{mm}/2 = U_{am} = g = U,$$

$$t_a = 1, t_m = 1/2,$$

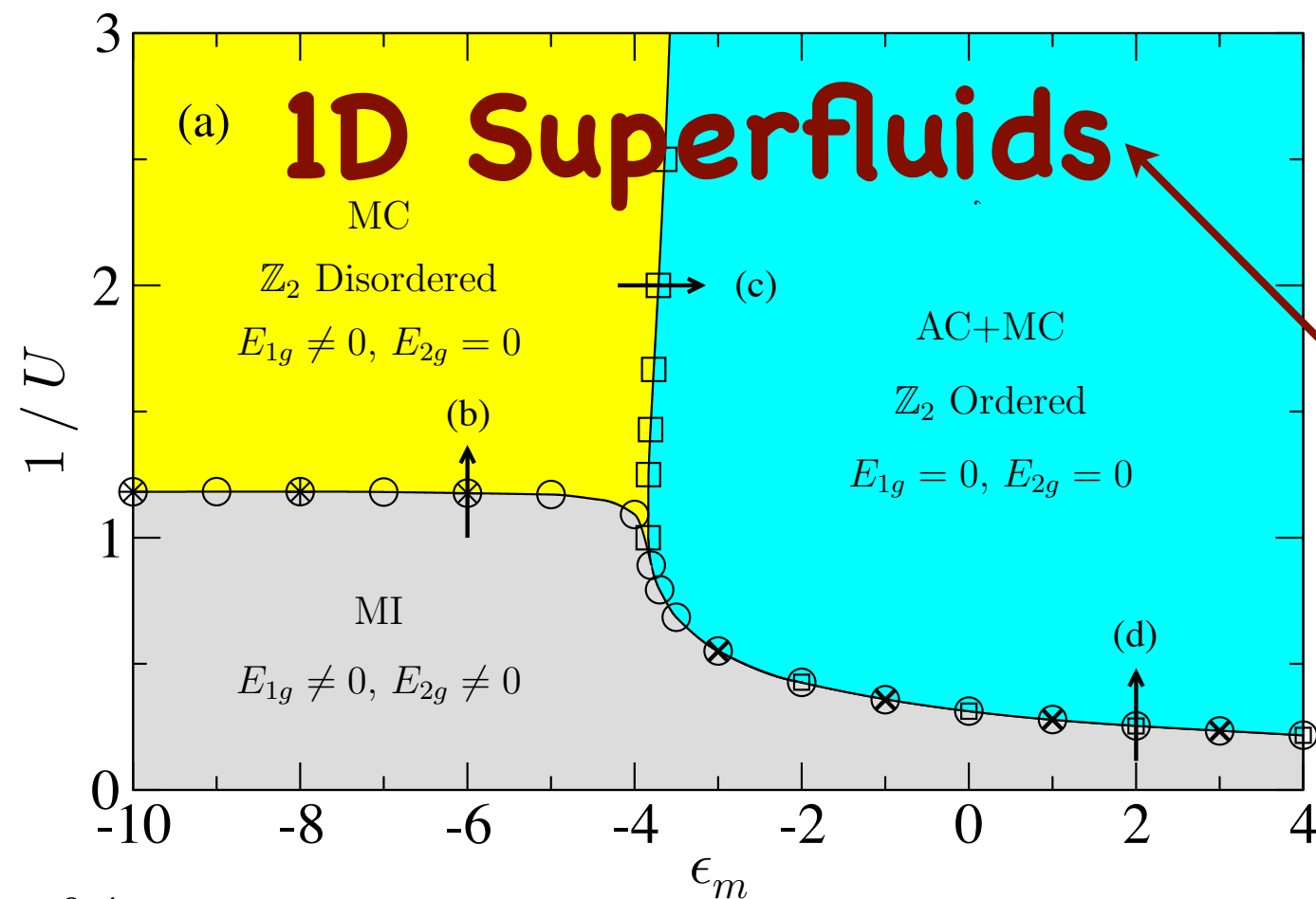
2 particles per site

2-component Bose-Hubbard-Feshbach problem

Ejima, Bhaseen,
Essler et al '11

$$H = \sum_{i\alpha} \epsilon_{\alpha} n_{i\alpha} - \sum_i \sum_{\alpha} t_{\alpha} (b_{i\alpha}^{\dagger} b_{i+1\alpha} + \text{H.c.}) + \sum_{i\alpha\alpha'} \frac{U_{\alpha\alpha'}}{2} n_{i\alpha} (n_{i\alpha'} - \delta_{\alpha\alpha'}) + g \sum_i (m_i^{\dagger} a_i a_i + \text{H.c.}),$$

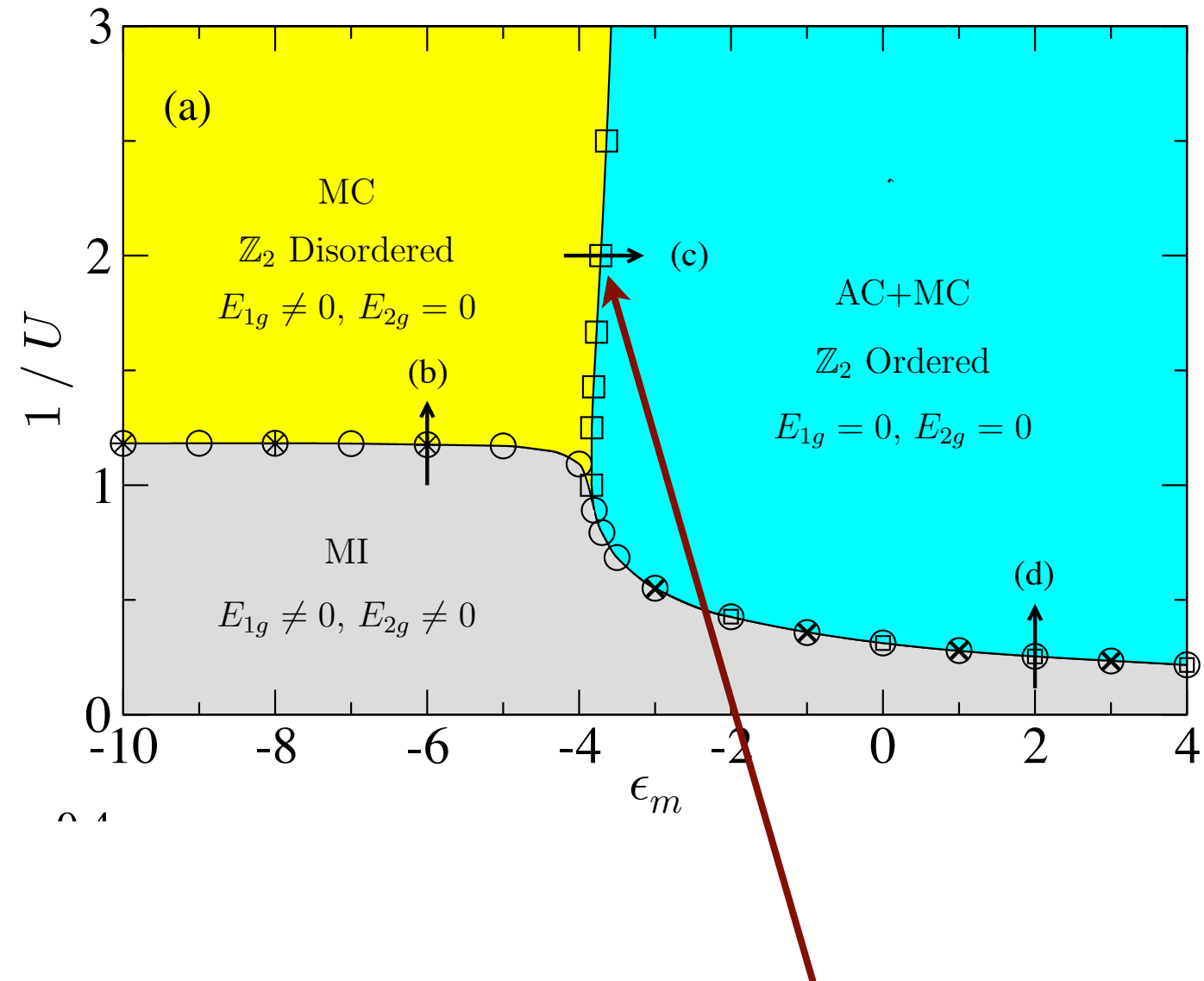
- bosonic atoms and molecules hopping on a 1D lattice
- repel when on the same site with U_{aa} , U_{mm} , U_{am}
- Feshbach conversion term: 2 atoms \leftrightarrow 1 molecule



$$\epsilon_a = 0, U_{aa}/2 = U_{mm}/2 = U_{am} = g = U, \\ t_a = 1, t_m = 1/2,$$

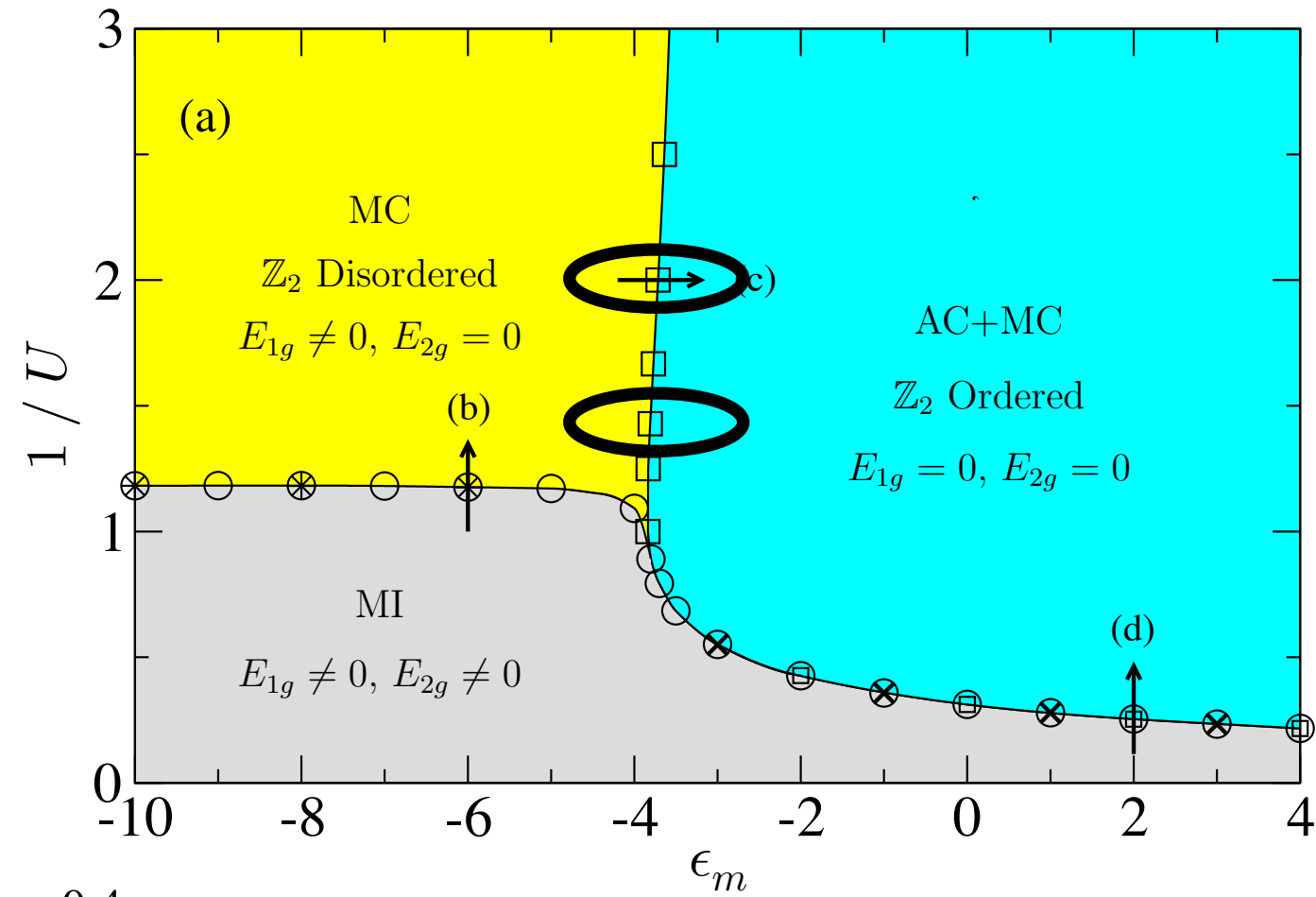
c=1 CFT
(attractive Luttinger Liquids)

2-component Bose-Hubbard-Feshbach problem

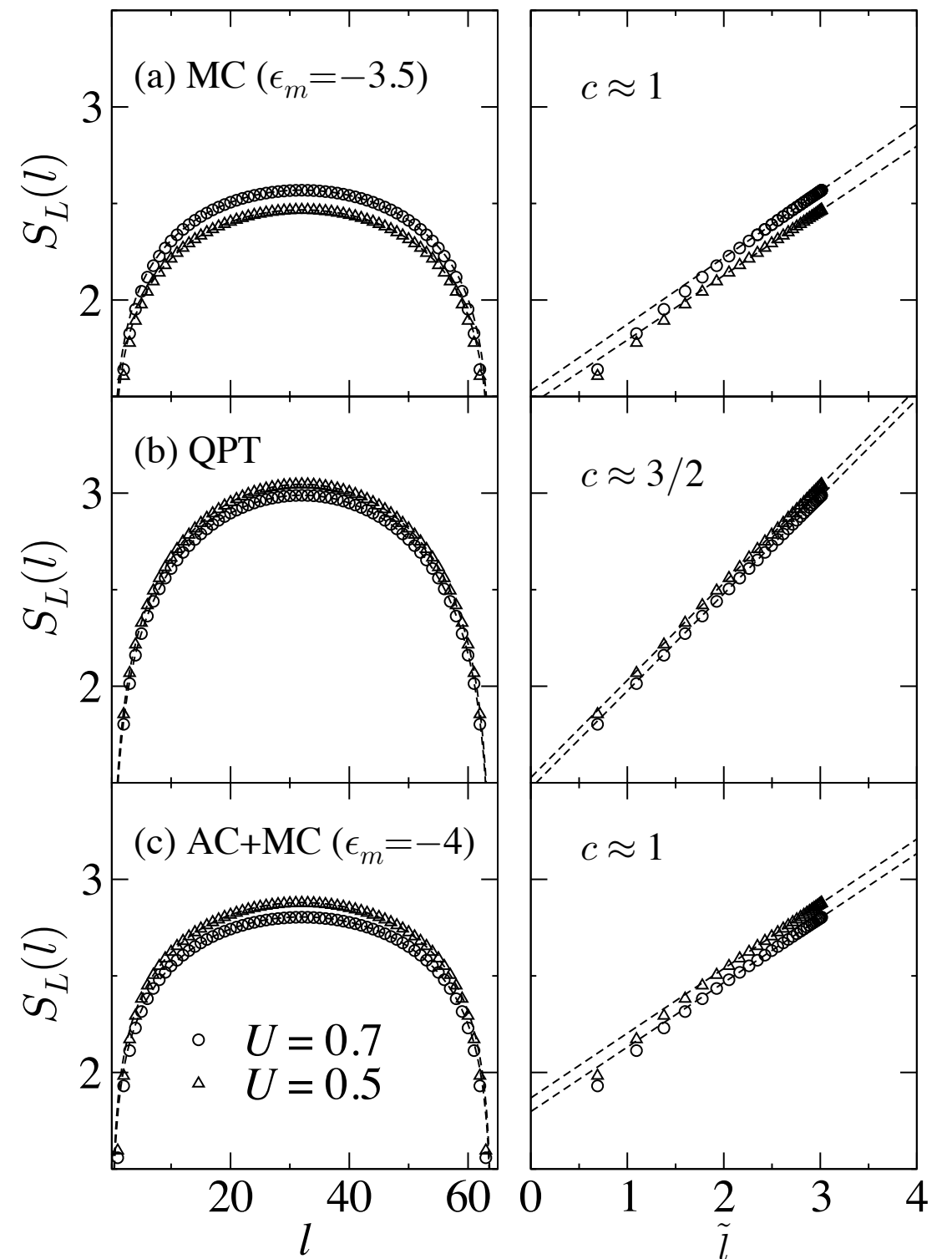


Transition between two $c=1$ CFTs!
What is the critical line ???

2-component Bose-Hubbard-Feshbach problem



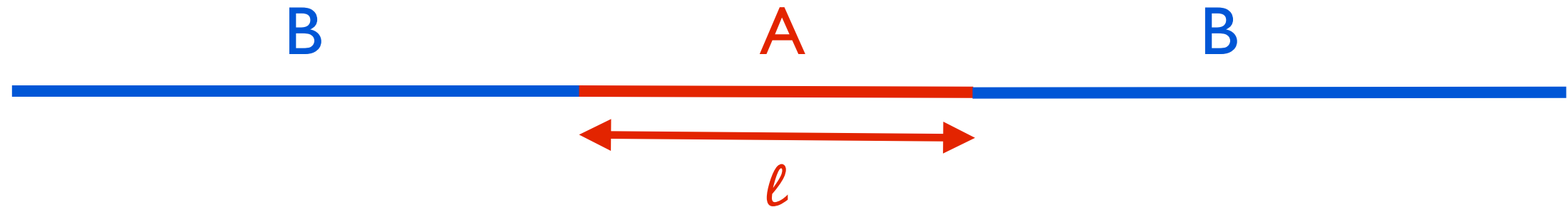
von Neumann entropies:



Ising transition on top of a Luttinger Liquid !

2. Excited State Entanglement in 1+1 dim CFTs

Alcaraz, Berganza & Sierra '11



But now consider the system in an **excited state**: $\mathcal{O}(0,0)|0\rangle$

$\mathcal{O}(z, \bar{z})$ a primary field $z = \exp\left(\frac{2\pi}{La_0}(vt - ix)\right)$

↑
ground state

$$S_n = \frac{c}{6}\left(1 + \frac{1}{n}\right) \ln \left[\frac{L}{\pi} \sin \left(\frac{\pi \ell}{L} \right) \right] + c'_n + \frac{1}{1-n} \ln [F_n(\ell/L)] + o(L),$$

$$F_n(x) = \frac{\langle \prod_{k=0}^{n-1} \mathcal{O}\left(\frac{\pi}{n}(x + 2k)\right) \mathcal{O}^\dagger\left(\frac{\pi}{n}(-x + 2k)\right) \rangle}{n^{2n(h+\bar{h})} \langle \mathcal{O}(\pi x) \mathcal{O}^\dagger(-\pi x) \rangle^n}.$$

evaluated on a cylinder

CFT results are **useful** for understanding critical properties of **lattice models** with quantum phase transitions.

But there are interesting caveats....

3. 1D Hubbard model (periodic bcs)

$$H_{\text{Hubb}} = -t \sum_{j=1}^L \sum_{\sigma} c_{j,\sigma}^{\dagger} c_{j+1,\sigma} + \text{h.c.} + U \sum_j n_{j,\uparrow} n_{j,\downarrow},$$

1D Hubbard Model

Crucial Paradigm for Mott Metal-Insulator transition at half-filling

Low-energy theory below half-filling: two $c=1$ Luttinger liquids

$$H = \sum_{\mathbf{a}=\mathbf{c},\mathbf{s}} \frac{v_{\mathbf{a}}}{2} \int dx [(\partial_x \Phi_{\mathbf{a}})^2 + (\partial_x \Theta_{\mathbf{a}})^2],$$

↑
Bose
fields

↑
dual
fields

↑
spin/charge
velocities

$$\left[\Phi_{\alpha}(x), \frac{\partial \Theta_{\beta}(y)}{\partial y} \right] = i\pi \delta_{\alpha\beta} \delta(x - y).$$

3. 1D Hubbard model (periodic bcs)

$$H_{\text{Hubb}} = -t \sum_{j=1}^L \sum_{\sigma} c_{j,\sigma}^{\dagger} c_{j+1,\sigma} + \text{h.c.} + U \sum_j n_{j,\uparrow} n_{j,\downarrow},$$

1D Hubbard Model

Crucial Paradigm for Mott Metal-Insulator transition at half-filling

Low-energy theory below half-filling: two $c=1$ Luttinger liquids

$$H = \sum_{\mathbf{a}=c,s} \frac{v_{\mathbf{a}}}{2} \int dx [(\partial_x \Phi_{\mathbf{a}})^2 + (\partial_x \Theta_{\mathbf{a}})^2],$$

- Different velocities (not Lorentz invariant)
- Local operators particular combinations of spin/charge fields
- irrelevant perturbations (spin and charge coupled)

for ground state:

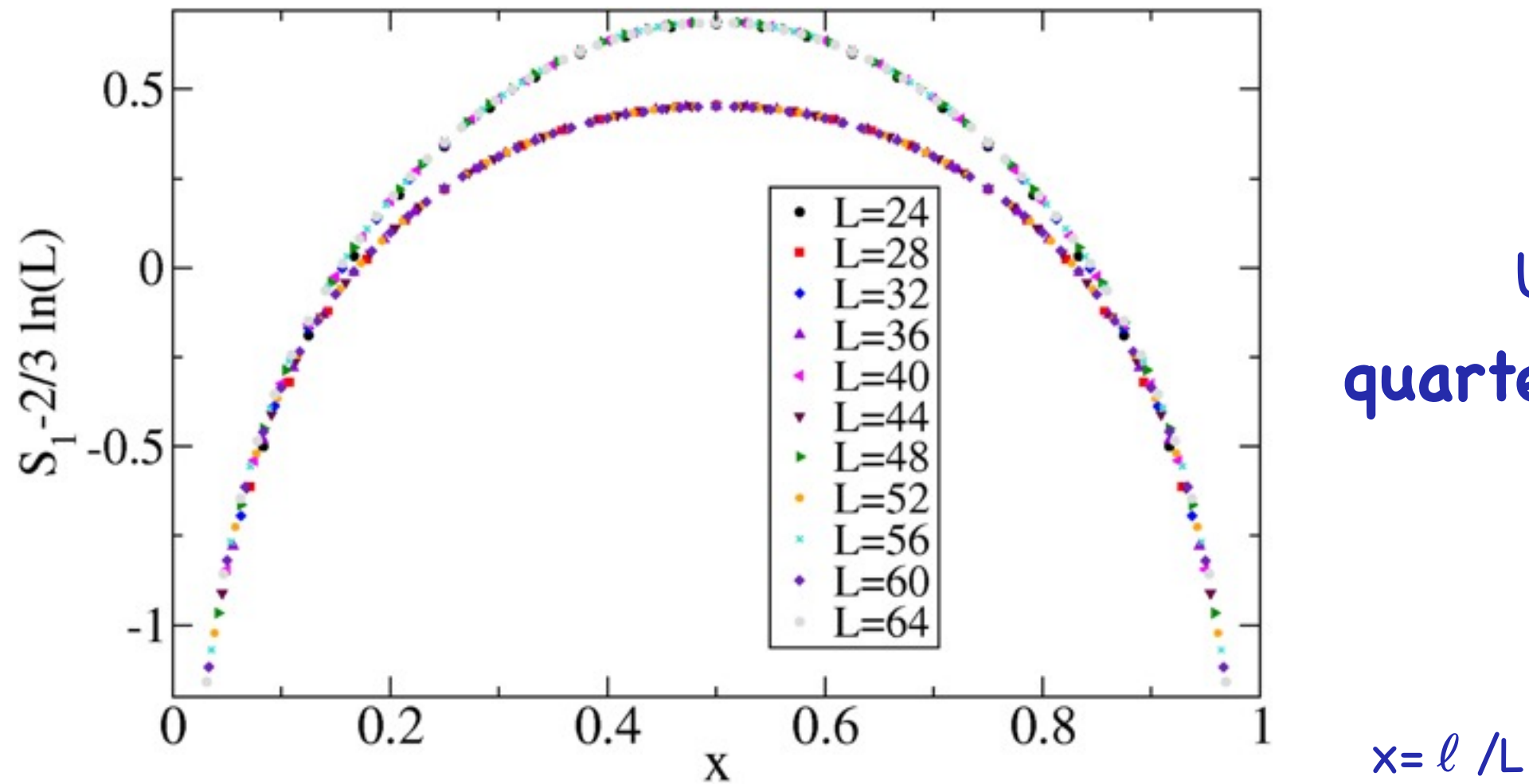
$$S_1 = \frac{c}{3} \ln \left(\frac{L}{\pi} \sin \frac{\pi \ell}{L} \right) + c'_1$$

with $c=2$?

for ground state:

$$S_1 = \frac{c}{3} \ln \left(\frac{L}{\pi} \sin \frac{\pi \ell}{L} \right) + c'_1$$

with $c=2$?



scaling collapse on **two curves**: $L=0 \pmod{8}$ and $L=4 \pmod{8}$



$L=4 \pmod 8$:

Lieb&Wu '68

unique ground state from Bethe Ansatz



entropy agrees well with CFT prediction

$L=0 \pmod 8$:

Essler, Korepin, Schoutens '91

ground state **degenerate** $S=1$ multiplet.



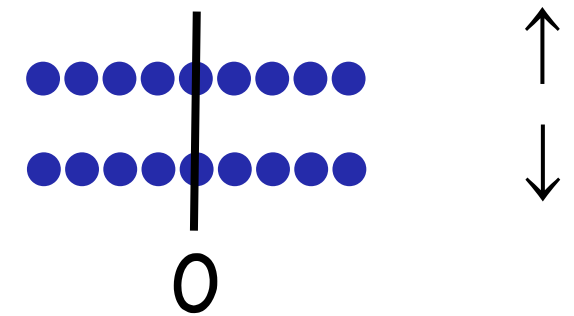
entropy disagrees with CFT prediction

To see what is going on, consider $U \rightarrow 0$ limit:

$L=4 \pmod 8:$ $N_{\uparrow}=N_{\downarrow} = \text{odd}=2n+1$

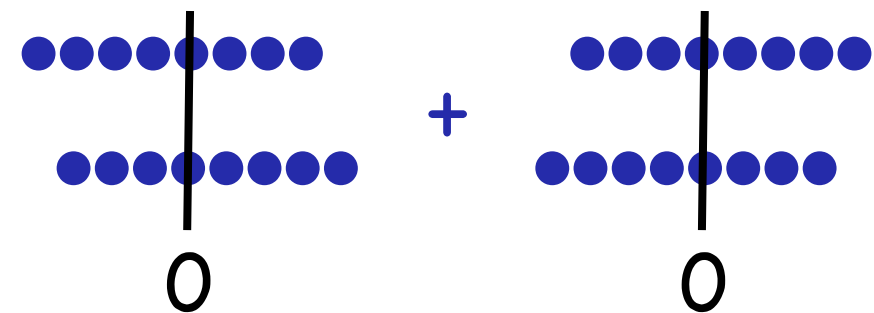
$p_m = 2\pi m/L$ GS is a symmetrically filled Fermi sea

$$|2n + 1\rangle_{\text{FS}} = \prod_{m=-n}^n c_{\uparrow}^{\dagger}(p_m) c_{\downarrow}^{\dagger}(p_m) |0\rangle$$



$L=0 \pmod 8:$ $N_{\uparrow}=N_{\downarrow} = \text{even}=2n$ $U \rightarrow 0$ GS is a superposition of

asymmetrically filled Fermi seas

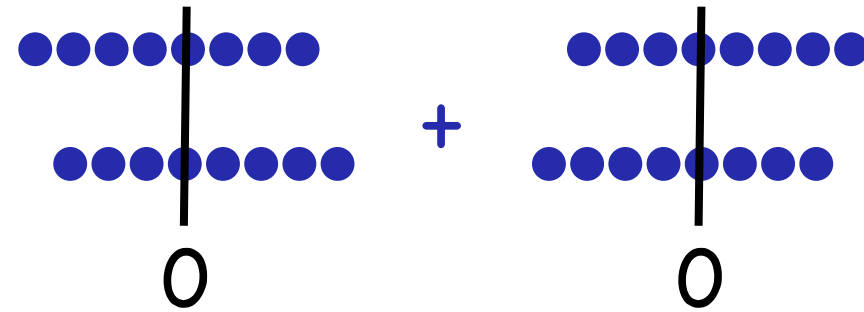


$$\frac{c_{\uparrow}^{\dagger}(k_F) c_{\downarrow}^{\dagger}(-k_F) + c_{\downarrow}^{\dagger}(k_F) c_{\uparrow}^{\dagger}(-k_F)}{\sqrt{2}} |2n - 1\rangle_{\text{FS}}$$

$L=0 \pmod 8$:

$N_{\uparrow} = N_{\downarrow} = \text{even}$

$U \rightarrow 0$ GS is a superposition of asymmetrically filled Fermi seas



Intuitively higher entropy, because $P_{\uparrow} - P_{\downarrow}$ not fixed, but can take 2 values.

4. CFT Approach to Shell-Filling Effects

Bethe Ansatz & Bosonization:

$$H = \sum_{\mathbf{a}=\mathbf{c},\mathbf{s}} \frac{v_{\mathbf{a}}}{2} \int dx [(\partial_x \Phi_{\mathbf{a}})^2 + (\partial_x \Theta_{\mathbf{a}})^2]$$

Chiral Fields:

$$\Theta_{\mathbf{a}} = \varphi_{\mathbf{a}} - \bar{\varphi}_{\mathbf{a}}$$

$$\Phi_{\mathbf{a}} = \varphi_{\mathbf{a}} + \bar{\varphi}_{\mathbf{a}}$$

Mode expansions:

$$\bar{\varphi}_{\mathbf{a}}(x, t) = \bar{P}_{\mathbf{a}} + \frac{x_+}{La_0} \bar{Q}_{\mathbf{a}} + \sum_{n=1}^{\infty} \frac{e^{-i\frac{2\pi n}{La_0}x_+} \bar{a}_{\mathbf{a},n} + \text{h.c.}}{\sqrt{4\pi n}}$$

$$\varphi_{\mathbf{a}}(x, t) = P_{\mathbf{a}} + \frac{x_-}{La_0} Q_{\mathbf{a}} + \sum_{n=1}^{\infty} \frac{e^{i\frac{2\pi n}{La_0}x_-} a_{\mathbf{a},n} + \text{h.c.}}{\sqrt{4\pi n}},$$

$$x_{\pm} = x \pm v_{\mathbf{a}} t$$

Zero modes:

$$[P_{\mathbf{a}}, Q_{\mathbf{a}}] = -\frac{i}{2} = -[\bar{P}_{\mathbf{a}}, \bar{Q}_{\mathbf{a}}]$$

$$H = \sum_{\mathbf{a}=\mathbf{c},\mathbf{s}} \frac{v_{\mathbf{a}}}{La_0} \left[Q_{\mathbf{a}}^2 + \bar{Q}_{\mathbf{a}}^2 + \sum_{n=1}^{\infty} 2\pi n (a_{\mathbf{a},n}^{\dagger} a_{\mathbf{a},n} + \bar{a}_{\mathbf{a},n}^{\dagger} \bar{a}_{\mathbf{a},n}) \right]$$

Difference between $L=4 \pmod 8$ and $L=0 \pmod 8$ is in quantization conditions for Q_a, \bar{Q}_a

$L=4 \pmod 8$:

eigenvalues of Q_a

$$q_c = \sum_{\sigma=\uparrow,\downarrow} \frac{K+1}{\sqrt{8K}} m_\sigma + \frac{K-1}{\sqrt{8K}} \bar{m}_\sigma$$
$$\bar{q}_c = \sum_{\sigma=\uparrow,\downarrow} \frac{K-1}{\sqrt{8K}} m_\sigma + \frac{K+1}{\sqrt{8K}} \bar{m}_\sigma$$
$$q_s = \frac{m_\uparrow - m_\downarrow}{\sqrt{2}} \quad \bar{q}_s = \frac{\bar{m}_\uparrow - \bar{m}_\downarrow}{\sqrt{2}}$$

m_σ, \bar{m}_σ
integers

K_c Luttinger parameter (compactification radius)

Ground state: $|0,0,0,0\rangle$ (CFT vacuum)

notations: $|m_\uparrow, m_\downarrow; \bar{m}_\uparrow, \bar{m}_\downarrow\rangle$ annihilated by $a_{\mathbf{a},n}, \bar{a}_{\mathbf{a},n}$
zero-mode eigenvalues $q_{\mathbf{a}}(m_\sigma, \bar{m}_\sigma)$ and $\bar{q}_{\mathbf{a}}(\bar{m}_\sigma, m_\sigma)$

L=0 mod 8:

$$m_{\sigma} \rightarrow m_{\sigma} + 1/2$$

$$\bar{m}_{\sigma} \rightarrow \bar{m}_{\sigma} + 1/2$$

S^z=0 ground states:

$$|\pm\rangle = \frac{1}{\sqrt{2}} [|1, 0; 0, 1\rangle \pm |0, 1; 1, 0\rangle]$$

N.B. Degeneracy between spin singlet and triplet is an artifact of CFT limit: broken by marginally irrelevant perturbation in the Hubbard model.

Can write our state of interest as

$$|+\rangle \propto \lim_{z, \bar{z} \rightarrow 0} \cos(\sqrt{2\pi}\Phi_s(z, \bar{z}))|0\rangle$$

Not an excited state in our compact boson theory.

Nonetheless can apply method of Alcaraz, Berganza & Sierra (PRL '11) to determine **Renyi** entropies.

5. Results

1. Renyi Entropies

$$S_n = \frac{c}{6} \left(1 + \frac{1}{n}\right) \ln \left[\frac{L}{\pi} \sin \left(\frac{\pi \ell}{L} \right) \right] + c'_n + \frac{1}{1-n} \ln [F_n(\ell/L)] + o(1)$$

$c=2$

$$[F_n(x)]^2 = \prod_{p=1}^n \left[1 - \frac{(n-2p+1)^2}{n^2} \sin^2(\pi x) \right] = \left[\left[\frac{2 \sin(\pi x)}{n} \right]^n \frac{\Gamma \left(\frac{1+n+n \csc(\pi x)}{2} \right)}{\Gamma \left(\frac{1-n+n \csc(\pi x)}{2} \right)} \right]^2$$

2. von Neumann Entropy

by "analytic continuation" $n \rightarrow 1$

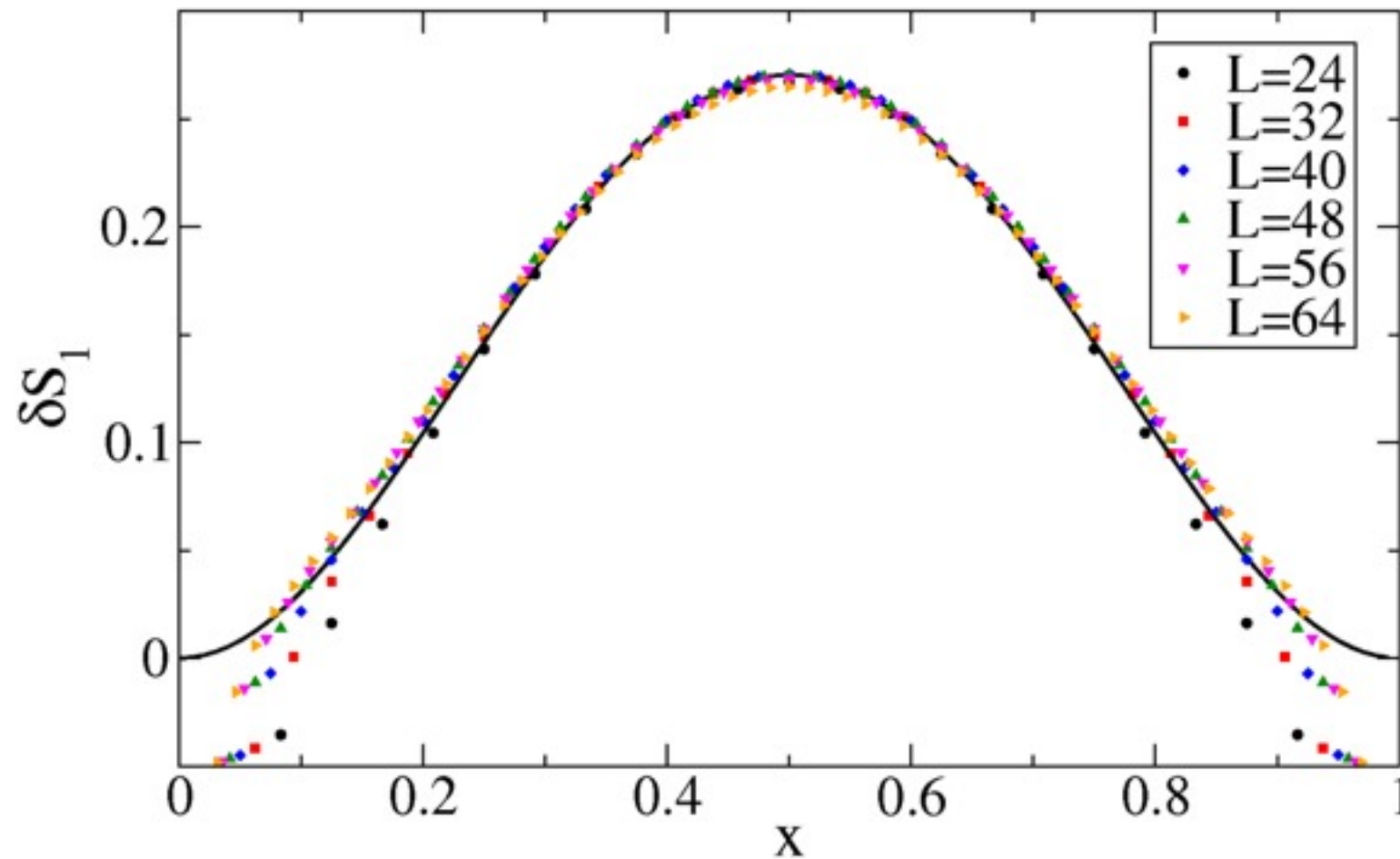
$$S_1 = \frac{c}{3} \ln \left[\frac{L}{\pi} \sin \frac{\pi \ell}{L} \right] + c'_1 - F'_1(\ell/L) + o(1)$$

$$F'_1(x) = \ln |2 \sin(\pi x)| + \psi \left(\frac{1}{2 \sin(\pi x)} \right) + \sin(\pi x).$$

Comparison with numerics (DMRG)

$$\delta S_1 \equiv S_1 - \frac{2}{3} \ln \left[\frac{L}{\pi} \sin \left(\frac{\pi \ell}{L} \right) \right] - c'_1$$

for $U=0.3t$



good!

regime where CFT is
expected to hold

Comparison with numerics (DMRG)

But agreement gets **worse** for **larger** U !

???

Hubbard model is perturbed CFT and leading operator is only marginally irrelevant.

$$H = \sum_{\mathbf{a}=c,s} \frac{v_{\mathbf{a}}}{2} \int dx [(\partial_x \Phi_{\mathbf{a}})^2 + (\partial_x \Theta_{\mathbf{a}})^2]$$

CFT

$$+g \int dx \left[\frac{2}{\pi a_0} \cos(\sqrt{8\pi} \Phi_s) + (\partial_x \Theta_s)^2 - (\partial_x \Phi_s)^2 \right]$$

Perturbation

g flows to zero for $\ell \rightarrow \infty$, but DMRG data only for "small" ℓ

Difficult problem (RG improved PT on multi-sheeted Riemann surface).

cf Cardy&Calabrese '10 (ground state)

$$H = \sum_{\mathbf{a}=c,s} \frac{v_{\mathbf{a}}}{2} \int dx [(\partial_x \Phi_{\mathbf{a}})^2 + (\partial_x \Theta_{\mathbf{a}})^2]$$

CFT

$$+g \int dx \left[\frac{2}{\pi a_0} \cos(\sqrt{8\pi} \Phi_s) + (\partial_x \Theta_s)^2 - (\partial_x \Phi_s)^2 \right]$$

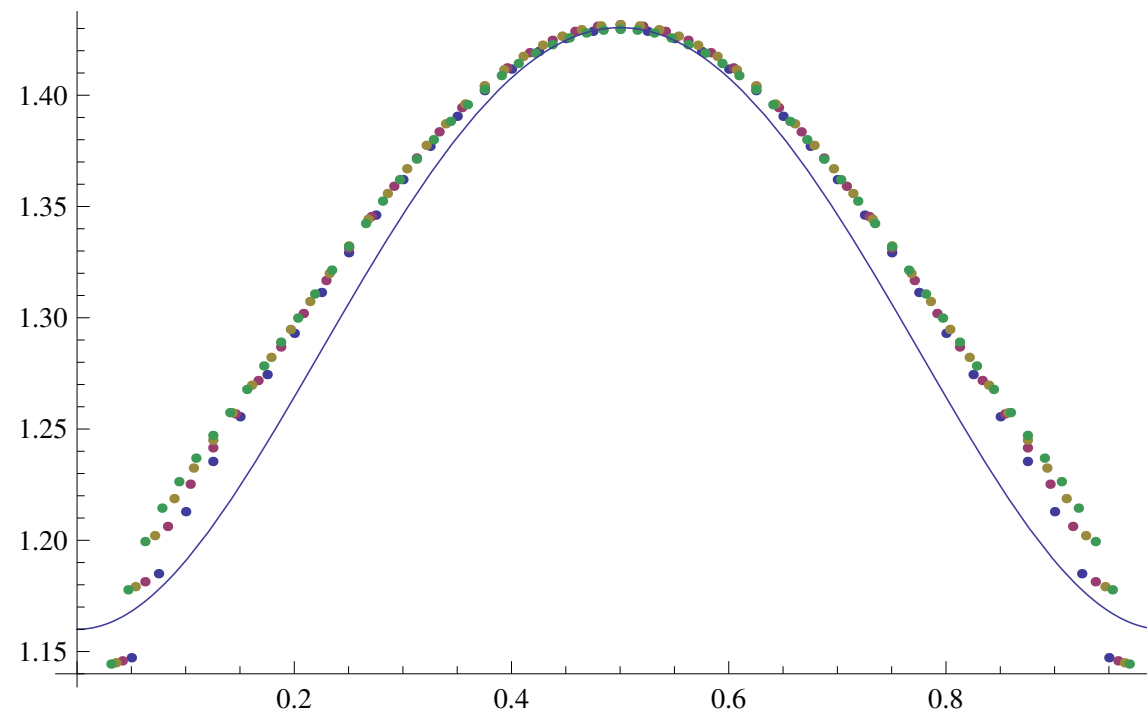
Perturbation

Idea: change lattice Hamiltonian to make **bare** coupling g small

$$H_{\text{ext}} = H_{\text{Hubb}} + V_2 \sum_{j,\sigma,\sigma'} n_{j,\sigma} n_{j+2,\sigma'}$$

increasing V_2 decreases g (difficult to make $g=0$ because of **KT transition** when g changes sign)

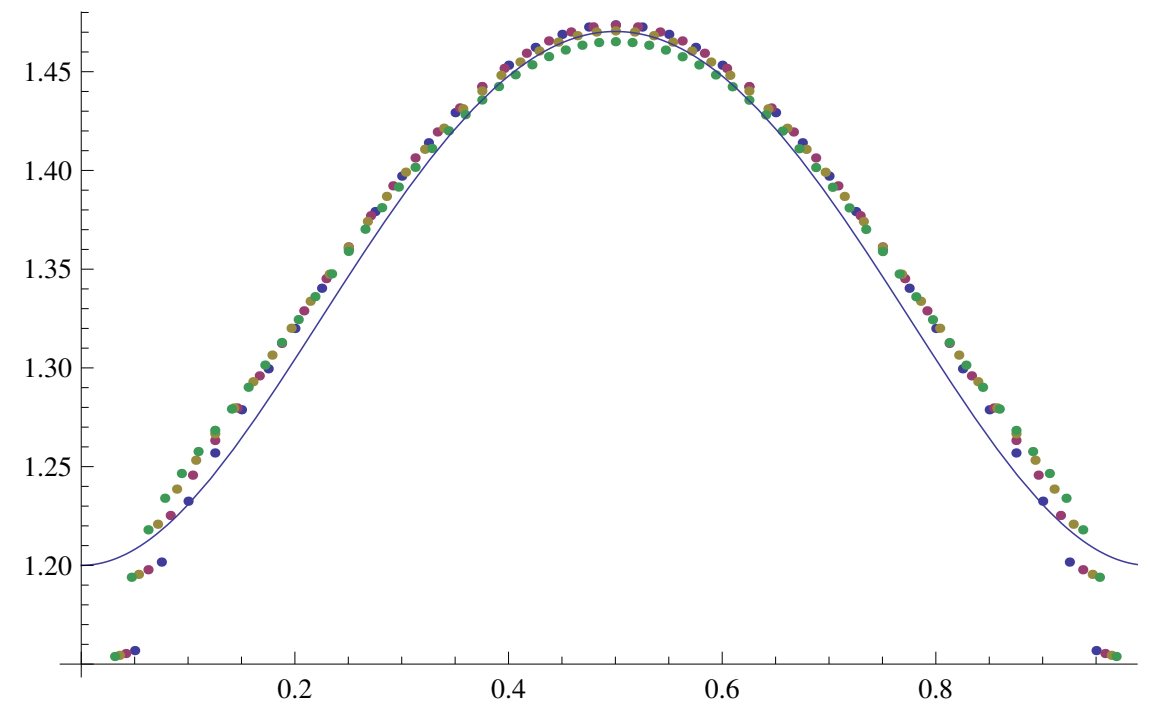
δS_1



l/L

$U=2t, V_2=0$

δS_1



l/L

$U=2t, V_2=0.5$

Agreement improves !!!

6. Conclusions

- Have found a new $O(1)$ effect for ground state entanglement entropies.
- Effect is actually rather general. Will occur in multi-species theories in any dimension etc.
- **Important for interpreting numerical studies.**
- For 1+1 dim quantum critical models we have developed a CFT approach.
- Using results of Alcaraz et al obtained **exact scaling functions.**
- Observed **very strong effects** of marginally irrelevant perturbation. (cf corrections to excited state **energies**)

